

Electronic Acknowledgement Receipt

EFS ID:	8350964
Application Number:	10077182
International Application Number:	
Confirmation Number:	9522
Title of Invention:	BALANCING ARBITRAGABLE TRACKING SECURITIES
First Named Inventor/Applicant Name:	Steven M. Bloom
Customer Number:	26161
Filer:	Denis G. Maloney/doreen curseaden
Filer Authorized By:	Denis G. Maloney
Attorney Docket Number:	09857-092001
Receipt Date:	03-SEP-2010
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Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$1810
RAM confirmation Number	6724
Deposit Account	061050
Authorized User	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part/.zip	Pages (if appl.)

1	Issue Fee Payment (PTO-85B)	responoa09857_0092001.pdf	707910 478a1ef596a930881c075425317c6aca89bc ddb4	no	2
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Warnings:

Information:

2	Post Allowance Communication - Incoming	reasonsforallow09857_0092001.pdf	298947 ea27db503cfdc500ff0775e66d0ac31170fe 321	no	1
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Warnings:

Information:

3	Fee Worksheet (PTO-875)	fee-info.pdf	31733 511f39e3d5b3a1c64bc4ef02080a03a6d72f fb17	no	2
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Warnings:

Information:

Total Files Size (in bytes):	1038590
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.